

# Parenchymal Brain Oxygen Monitoring in the Neurocritical Care Unit

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## KEYWORDS

- Brain monitoring • Brain oxygen • Clark electrode • Hypoxia • Neurocritical care
- Optical fluorescence • Traumatic brain injury • Subarachnoid hemorrhage

## KEY POINTS

- Parenchymal brain tissue oxygen (PbtO<sub>2</sub>) monitoring is a safe and reliable technique for continuous bedside evaluation of patients with severe brain injury.
- Two techniques, a modified Clark electrode that uses the electrochemical properties of noble metals or optical fluorescence technology, can be used to measure PbtO<sub>2</sub>.
- PbtO<sub>2</sub> indicates the balance between regional oxygen supply and cellular oxygen consumption and may be described by the equation  $\text{PbtO}_2 = \text{CBF} \times \text{AVT}_{\text{O}_2}$ , where CBF is cerebral blood flow, Pvo<sub>2</sub> is partial oxygen pressure in mixed venous blood, and  $\text{AVT}_{\text{O}_2} = \text{PaO}_2 - \text{Pvo}_2$ .
- PbtO<sub>2</sub> values less than 20 mm Hg are considered worth treating and values less than 15 mm Hg are consistent with brain hypoxia or ischemia.
- Reduced PbtO<sub>2</sub> is associated with worse outcome in acute brain injury in adults and children, although the strength of this relationship may depend on probe location.
- When severe traumatic brain injury care is based on data from both a PbtO<sub>2</sub> and intracranial pressure (ICP) monitor, some (but not all) observational series suggest that outcome is better than when just ICP-based care is provided.

## INTRODUCTION

Patients with a variety of severe, acute neurologic disorders such as traumatic brain injury (TBI), subarachnoid hemorrhage (SAH), acute ischemic stroke, and intracerebral hemorrhage (ICH) often are admitted to the neurocritical care unit (NCCU). Despite much research and success in animal models, effective drug therapies for these disorders have not been identified in clinical trials.<sup>1</sup> Instead, much of patient management in the NCCU is centered on the early identification and removal of mass lesions and on the detection, prevention, and management of secondary brain

insults that exacerbate outcome (eg, hypotension, hypoxia, seizures, increased intracranial pressure [ICP]). Careful and repeated assessment and monitoring of clinical and laboratory findings, imaging studies, and bedside physiologic data are consequently what drive modern neurocritical care.

A variety of monitors are currently in clinical use (**Box 1**), although the ideal monitor to assess neurologic function in the NCCU does not yet exist. These monitors may be classified into 2 broad categories: (1) radiographic or tomographic techniques that provide information at a single point in time, and (2) bedside monitors that may

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**Box 1****Examples of monitors in clinical use in the NCCU**

- Clinical evaluation, serial assessment
- Laboratory analysis
- Systemic: electrocardiogram, heart rate, blood pressure, O<sub>2</sub> saturation, end tidal CO<sub>2</sub> (EtCO<sub>2</sub>), temperature
- Hydraulic: ICP/cerebral perfusion pressure (CPP)
- Electrophysiology: electroencephalogram, somatosensory evoked potentials, brain stem auditory evoked response
- Radiographic/tomographic: positron emission tomography (PET), single-photon emission computed tomography, CT-P, stable Xe-CT (<sup>133</sup>XE), magnetic resonance imaging (MRI)
- Cerebral blood flow (CBF): transcranial Doppler, laser Doppler, thermal diffusion probe, transcranial cerebral oximetry
- Metabolic: microdialysis, jugular venous oximetry, direct brain oxygen, near infrared spectroscopy
- Biosamples (cerebrospinal fluid or serum): eg, S100B, GFAP, NSE

*Abbreviations:* CT-P, CT – perfusion scan (computed tomography); GFAP, Glial fibrillary acidic protein; NSE, neuron specific enolase.

be subdivided into monitors that are (1) invasive or noninvasive, or (2) continuous or noncontinuous. More than 1 monitor is ideally used because the brain is a complex organ and no single method can provide complete information about its health. Furthermore, monitoring by itself does not alter outcome. Instead, it is how the information provided by the monitor is used that contributes to patient wellbeing, particularly when targeted to patient-specific pathophysiology. This article reviews one type of continuous physiologic monitor: direct measurement of parenchymal brain oxygen.

## THE IMPORTANCE OF BRAIN OXYGEN

Maintenance of adequate tissue oxygenation is a fundamental objective in critical care medicine in general, and the assessment of tissue oxygenation is indispensable to care of the critically ill patient. The adult brain represents about 2% of body weight, but consumes about 20% of the oxygen consumed by the body. Greater than 90% of this oxygen is used by the mitochondria to produce ATP, which is integral to cell function.<sup>2</sup> For this

energy metabolism, brain cells must be supplied with oxygen and glucose, the primary fuel for the brain (although, in some circumstances, lactate also may be used).<sup>3</sup> Only then, and with normal mitochondrial function, can sufficient energy (ATP) be produced to maintain neuronal integrity and function. The brain lacks fuel stores and requires a continuous supply of glucose and oxygen. Therefore, continuous CBF, cerebral oxygen tension and delivery, and normal mitochondrial function are of vital importance to maintain brain function and tissue viability.

## DEFINITION

There are 4 basic methods to measure brain oxygen: jugular venous bulb oximetry, direct brain tissue (parenchymal) oxygen tension measurement, near infrared spectroscopy, and oxygen-15 PET. This article discusses parenchymal brain oxygen measurement, the commonest technique currently used in the NCCU to assess cerebral oxygenation. Brain tissue oxygen, or parenchymal brain oxygen, is defined as the partial pressure of oxygen in the brain interstitial space and reflects the availability of oxygen for oxidative energy production. There has been debate about whether the technique measures tissue oxygen pressure or tension, and, accordingly, several abbreviations have been used for brain tissue oxygen. A consensus conference at the 13th International Symposium on Intracranial Pressure and Brain Monitoring held in July 2007 in San Francisco, California, proposed that PbtO<sub>2</sub> be used as the standard abbreviation. Hence, this article uses PbtO<sub>2</sub> when referring to brain tissue oxygen or parenchymal brain oxygen. Consistent with this abbreviation, recent clinical studies suggest that PbtO<sub>2</sub> may be best defined by the equation:  $PbtO_2 = CBF \times AVT_{O_2}$ , where  $AVT_{O_2}$  is  $P_{aO_2} - P_{vO_2}$  (ie, PbtO<sub>2</sub> represents the interaction between plasma oxygen tension and CBF).<sup>4</sup>

## TECHNOLOGY

Brain oxygen (PbtO<sub>2</sub>) monitors were first used in the clinical environment in 1993 and included in the treatment guidelines for severe TBI in 2007.<sup>5</sup> Two techniques are available: (1) a modified Clark electrode that uses the electrochemical properties of noble metals (eg, Licox, Integra Lifesciences, Plainsboro, NJ; or Neurovent-P Temp, Raumedic AG, Munchberg, Germany), and (2) optical fluorescence technology (eg, Neurotrend, Diametrics Medical, St Paul, MN, and Codman, Johnson & Johnson, Raynham, MA; and OxyLab Po<sub>2</sub>, Oxford Optronix Ltd, Oxford, UK).

The Licox probe has been most frequently used in the NCCU. It is based on the Clark principle, which is a temperature-dependent oxygen-consuming process, and so a temperature probe is supplied with the  $\text{PbtO}_2$  probe. This temperature probe measures brain temperature and allows for automatic calibration. The new Licox PMO probe can measure  $\text{PbtO}_2$  and brain temperature using a single probe.<sup>6</sup> The Clark principle uses the electrochemical properties of noble metals to measure tissue oxygen content.<sup>7</sup> The Clark electrode consists of a membrane that covers a layer of electrolyte and 2 metallic electrodes. Oxygen diffuses through the membrane and is electrochemically reduced at the cathode. The greater the oxygen partial pressure, the more oxygen diffuses through the membrane. The change in voltage between the reference electrode and the measuring electrode is proportional to the amount of oxygen being reduced on the cathode. The Neurovent-P Temp, which uses the same polarographic technique as the Licox, can also measure  $\text{PbtO}_2$  and brain temperature with 1 catheter. There are important differences in values obtained by the Neurovent and Licox, and so the values obtained by these devices cannot be used interchangeably.<sup>8,9</sup> The Licox system averages oxygen over a probe area of 14 to 18  $\text{mm}^2$  and has excellent long-term stability, even after 7 days. The Neurovent-P Temp has a greater surface area (24  $\text{mm}^2$ ).

The second technique used to measure  $\text{PbtO}_2$  is based on fluorescence quenching in which a marker changes color according to the ambient amount of gas. Optode sensors are used to measure concentrations of substances by photochemical reactions that create changes in indicator compound optical properties.<sup>7</sup> Unlike the Licox, this process does not consume oxygen and does not affect the measured oxygen level. However, the probe measures a smaller area. The Neurotrend uses this technique but it is no longer commercially available for clinical use. The accuracy and clinical stability of the Neurotrend sensor also seems to be less than the Licox system.<sup>10</sup> There are several other important differences between the Clark principle (eg, the Licox) and optical techniques (eg, Neurotrend). First, Licox catheters are precalibrated and so can be inserted without any preuse calibration. However postinsertion stabilization (about 1 hour) is required before readings are reliable. By contrast, the Neurotrend monitor needs bedside calibration to a defined oxygen concentration. Second, the catheters are of different lengths; the Neurotrend is inserted at a greater depth than the Licox catheter. Third, the critical  $\text{PbtO}_2$  threshold for hypoxia is different and so it is difficult to compare studies

that use the different techniques. In addition, the Neurotrend changed design in 1998, making it difficult to compare between old and more recent studies that describe this technology.<sup>11</sup>

Direct  $\text{PbtO}_2$  monitors provide a measure of  $\text{PbtO}_2$  in units of tension (mm Hg). A conversion factor of 1 mm Hg = 0.003 mL  $\text{O}_2$ /100 g brain can be used to convert  $\text{PbtO}_2$  values to units of concentration (mL  $\text{O}_2$ /100  $\text{cm}^3$ ). A  $\text{PbtO}_2$  monitor is not a blood flow monitor. Instead, it indicates the balance between regional oxygen supply and cellular oxygen consumption and may be described by the equation:  $\text{PbtO}_2 = \text{CBF} \times \text{AVT}_{\text{O}_2}$  (ie, the interaction between plasma oxygen tension and CBF).<sup>4</sup>  $\text{PbtO}_2$  is influenced by many factors (Box 2), including CBF and the factors that regulate it, such as  $\text{CO}_2$  and mean arterial blood pressure (MAP) but also with changes in arterial oxygen tension ( $\text{PaO}_2$ ) and so lung function and hemoglobin.<sup>11–14</sup> In addition, a  $\text{PbtO}_2$  monitor is different from a jugular bulb catheter that measures the venous oxygen content in blood leaving the brain (ie, the balance between oxygen delivery and oxygen use). By contrast,  $\text{PbtO}_2$  is consistent with the oxygen that accumulates in brain tissue and PET studies suggest it may correlate inversely with the oxygen extraction fraction<sup>15</sup> and reflect oxygen diffusion rather than total oxygen delivery or metabolism.<sup>14,16,17</sup>

## MONITOR INSERTION

Parenchymal brain oxygen measurement involves the insertion of a fine catheter (about 0.5 mm in

### Box 2

#### Factors that may influence brain oxygen

- Local factors of major influence on  $\text{PbtO}_2$ 
  - Oxygen consumption of neurons and glia cells
  - Oxygen diffusion conditions/gradients in tissue
  - Number of perfused capillaries per tissue volume
  - Length and diameter of perfused capillaries
  - Capillary perfusion rate and microflow pattern
  - Hemoglobin oxygen release in microcirculation
- Systemic factors of major influence on  $\text{PbtO}_2$ 
  - Arterial BP, ICP,  $\text{PaO}_2$ ,  $\text{Paco}_2$ , pH, temperature
  - Blood hemoglobin content, P50, viscosity, and hematocrit

diameter) into the brain tissue, specifically the white matter. The PbtO<sub>2</sub> catheters or probes can be inserted through a single-lumen or multiple-lumen bolt secured in a burr hole or they may be tunneled under the scalp. The procedure to insert the monitor may be performed in the operating room or at the bedside in the NCCU. PbtO<sub>2</sub> monitors usually are placed by neurosurgeons but, in some institutions, neurointensivists may insert these devices with neurosurgical backup. In general, PbtO<sub>2</sub> monitors are placed for similar reasons as an ICP monitor (ie, Glasgow Coma Scale [GCS] ≤8). The Licox system includes a brain temperature probe (thermocouple) that is inserted through a triple-lumen bolt with the PbtO<sub>2</sub> and ICP monitor (eg, Camino, Integra Neuroscience). However, if brain temperature is not measured (eg, a microdialysis catheter is inserted through the lumen instead of the temperature probe), the monitor should be calibrated manually on a regular basis (eg, every 30 minutes) by using core body temperature. Hemorrhage is the most common procedural complication and is identified in less than 1% of parenchymal monitors. Most of these are identified on imaging and are not of clinical importance. An INR less than or equal to 1.6 and platelet count of more than 100,000 are necessary to safely place an ICP or PbtO<sub>2</sub> monitor. Infection is rare<sup>18</sup> but device malfunction, similar to other monitors, may require troubleshooting in up to 10% of devices.

NORMAL AND ABNORMAL PBTO<sub>2</sub> VALUES

Physiologic studies suggest that mitochondria need an oxygen concentration of 1.5 mm Hg to produce ATP.<sup>19</sup> This level corresponds with a PbtO<sub>2</sub> between 15 and 20 mm Hg. A similar PbtO<sub>2</sub> level is observed using 7-T MRI techniques and fluorescent quenching techniques. PbtO<sub>2</sub> threshold values depend on what type of monitor is used. In general, when using a Licox monitor, PbtO<sub>2</sub> greater than 2–30 mm Hg is considered normal; these values have been verified in awake patients undergoing elective functional neurosurgical procedures.<sup>20,21</sup> PbtO<sub>2</sub> values less than 20 mm Hg are considered worth treating and values less than 15 mm Hg are consistent with brain hypoxia or ischemia (Table 1).<sup>11,21–24,48,49</sup>

REGIONAL VERSUS GLOBAL MEASUREMENT

PbtO<sub>2</sub> probes sample approximately 15 mm<sup>2</sup> of tissue around the tip and the PbtO<sub>2</sub> value depends on oxygen diffusion from the vasculature to a small amount of tissue.<sup>4,16</sup> Therefore, it is a regional monitor, whose values depend on probe location

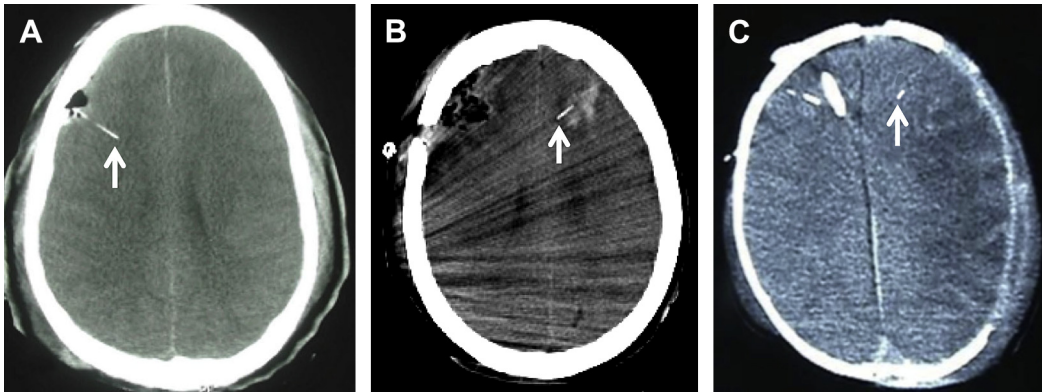
Table 1 Licox PbtO <sub>2</sub> values	
Condition	PbtO <sub>2</sub> Values (mm Hg)
Increased	>50 <sup>a</sup>
Normal	25–35
Compromised; begin treating	20 <sup>b</sup>
Brain hypoxia	15
Severe brain hypoxia	10
Cell death	<5

<sup>a</sup> The meaning of supranormal PbtO<sub>2</sub> values is unclear.  
<sup>b</sup> In a National Institutes of Health-sponsored phase II trial currently underway to study PbtO<sub>2</sub>, treatment is initiated when PbtO<sub>2</sub> is less than 20 mm Hg. Other investigators suggest treatment be initiated when PbtO<sub>2</sub> is less than 15 mm Hg.  
Data from Refs. <sup>11,15,20,22–47</sup>

(Fig. 1), which has led to debate about probe location and whether the value can be used to make decisions about global oxygenation. In most patients, PbtO<sub>2</sub> is measured in normal-appearing (ie, on admission computed tomography [CT] scan) frontal subcortical white matter; there is evidence that this local measurement is an indicator of global oxygenation.<sup>11,22,25,26,42,43</sup> Consistent with this, 2 clinical studies have shown good correlation between PbtO<sub>2</sub> and jugular bulb venous oxygen saturation (SjO<sub>2</sub>), used to assess global brain oxygenation, in areas without focal disorders after TBI.<sup>22,25</sup> In areas with focal disorders, this correlation between PbtO<sub>2</sub> and SjO<sub>2</sub> was absent and the PbtO<sub>2</sub> values reflected regional brain oxygenation better than jugular bulb oximetry.<sup>25</sup> Other studies also show that, when the probe is in tissue immediately adjacent to a contusion or other disorder (eg, a subdural hematoma), values are often lower, even if CPP is higher.<sup>27,50,51</sup> In addition, when the monitor is placed adjacent to abnormal brain, regional hypoxia lasts longer than in normal-appearing tissue<sup>50</sup> and the relationship with outcome seems to be more robust.<sup>27</sup>

PRACTICAL USE IN THE NCCU

Once inserted, a run-in or equilibration time of up to 1 hour is required before readings are stable. Adjustment of insertion depth (when used through an access device) is not possible with the Licox system and, if the monitor is removed, the insertion bolt then should be replaced if a new monitor is inserted to avoid potential contamination. The position and function of the PbtO<sub>2</sub> monitor should ideally be confirmed with a head CT scan or oxygen challenge test, particularly if the initial PbtO<sub>2</sub> reading after 30 to 60 minutes of stabilization is



**Fig. 1.** A computed tomography (CT) head scan shows a Licox PbtO<sub>2</sub> probe (arrow) in (A) what appears to be normal white matter of the right frontal cortex, (B) in a contusion, and (C) adjacent to the penumbra. Brain oxygen levels may vary because of position. In particular, the probe in the contusion is unlikely to respond to an oxygen challenge and so would be considered nonfunctional.

abnormal. Transiently increasing the fraction of inspired oxygen (Fio<sub>2</sub>) and observing the corresponding PbtO<sub>2</sub> increase can help confirm function or exclude the presence of surrounding microhemorrhages or sensor damage at insertion. An oxygen challenge is therefore performed when monitoring starts and, in some institutions, daily thereafter as part of regular clinical care to evaluate the function and responsiveness of the PbtO<sub>2</sub> probe or to determine oxygen reactivity. The oxygen challenge involves increasing the Fio<sub>2</sub> from baseline to 1.0 for approximately 5 minutes. This increase typically leads to a several-fold increase in the amount of oxygen dissolved in the plasma, translating into a mean 3-fold increase in PbtO<sub>2</sub>.<sup>4,13,14</sup> However, the response to hyperoxia usually is less robust when the monitor is in an underperfused (CBF <20 mL/100 g/min) region.<sup>52</sup>

There are no specific recommendations on how long PbtO<sub>2</sub> should be monitored. In patients with TBI, we leave the monitor in place until ICP is normal for 24 hours without any specific treatment other than sedation for ventilation. On average, in patients with severe TBI this is 4 to 5 days. In patients with SAH, we tend to keep the monitor in place during the risk period for vasospasm. In addition, continuous PbtO<sub>2</sub> can be monitored twice as long as Sjo<sub>2</sub> and without needing recalibration. In studies that compare PbtO<sub>2</sub> with Sjo<sub>2</sub>, good-quality data are acquired 95% of the time with PbtO<sub>2</sub>, but only 43% of the time for Sjo<sub>2</sub>.<sup>22</sup> Postinsertion noncontrast head CT confirmation of probe position in the brain parenchyma is important to interpret readings.<sup>17,27,50</sup> In some patients, a CT-perfusion study also may be useful. For example, if PbtO<sub>2</sub> readings are consistently low or poorly responsive to therapy, it is useful to know the monitor's proximity to a focal

abnormality or whether the region it is in is hypoperfused. In these cases, the PbtO<sub>2</sub> threshold for treatment may be lower than normally used.

### THE PHYSIOLOGIC RATIONALE BEHIND PBT<sub>2</sub> MONITORING

Monitoring in the NCCU has traditionally focused on ICP and its control. There is a large body of literature that shows a relationship between increased ICP, including even short episodes, and mortality.<sup>53,54</sup> The relationship between ICP and outcome among survivors is less clear<sup>55</sup> and, although ICP treatment benefits some patients, the results in large clinical series are less certain.<sup>56–59</sup> In part, this may stem from trial design, center variation, and prognostic heterogeneity. In addition, current management is largely a reactive model in which an abnormal value (threshold) from a single parameter triggers corrective action(s) to reverse the process largely in a phenomenological rather than mechanistic manner. Hence treatment of the acutely injured brain based on an individual parameter (eg, ICP and CPP) may be an oversimplified approach to patient care,<sup>60–63</sup> and further treatment advances may depend on more rather than less information to better target and individualize care.

Several lines of clinical evidence using a variety of techniques suggest that PbtO<sub>2</sub> monitors, among other tools, may be an ideal complement to ICP monitors and hence targeted management of select patients in the NCCU. First, in cerebral microdialysis studies, decreases in PbtO<sub>2</sub> are associated with markers of cellular dysfunction<sup>64</sup>; hence PbtO<sub>2</sub> monitoring may be useful in clinical conditions in which cerebral ischemia or secondary brain injury may occur.<sup>15</sup> Second, pericontusional brain



tissue exhibits persistent increase of lactate/pyruvate ratio (ie, cell energy dysfunction) independently of CPP.<sup>65</sup> Third, metabolic changes in the brain may occur before ICP increases.<sup>66,67</sup> Fourth, studies using jugular bulb catheters or PbtO<sub>2</sub> monitors show evidence for cellular hypoxia in the brain despite normal ICP and CPP in both adults and children, and that these events are common.<sup>28–31,68–71</sup> Fifth, PET studies show that cellular hypoxia after TBI is associated with abnormal oxygen diffusion rather than a perfusion deficit.<sup>16</sup> Together these findings suggest that a PbtO<sub>2</sub> monitor may provide unique, more, or earlier information about pathophysiologic changes occurring in the brain after acute injury that can supplement or complement information from other devices. An alternative is that PbtO<sub>2</sub> may be considered a novel target for resuscitation following brain injury and so expand potential therapeutic options.<sup>31</sup>

### CLINICAL USE IN THE NCCU

A large body of observational clinical PbtO<sub>2</sub> data, primarily in patients with severe TBI and, less frequently, in SAH, has accrued since the early 1990s. In addition, use of PbtO<sub>2</sub> monitors has been described in several other conditions including brain tumors, intracerebral hemorrhage, stroke, cerebral edema associated with metabolic abnormalities, and meningitis. Recommendations about use are derived mainly from retrospective case-control and prospective observational studies. Based on these studies, PbtO<sub>2</sub> monitoring is recommended in patients with a GCS less than 9, particularly when there is an abnormal head CT scan or the patient is at risk for delayed ischemia (ie, when ICP monitoring is indicated).

PbtO<sub>2</sub> monitoring is best used with other monitors (eg, an ICP monitor) and, like all other monitors, the information provided by a PbtO<sub>2</sub> monitor should be interpreted with data from the clinical examination, other monitors, and CT scan findings. The pathophysiologic changes that occur in the brain after injury, in the broad sense, are complex and different pathophysiologic processes may occur simultaneously or sequentially, and to varying degrees. Hence, use of a PbtO<sub>2</sub> and ICP (or other) monitor(s) together can improve insight about this complex pathophysiology (eg, provide information about autoregulation or help identify an optimal CPP target), and so allow intensive care unit staff to design strategies of care that are individualized and targeted.<sup>72,73</sup> Consistent with this, observational data suggest that PbtO<sub>2</sub> monitoring can potentially guide (eg, identify) potential deleterious effects of treatment or define responders and nonresponders of several therapies,

including (1) CPP<sup>15,74–76</sup>; (2) induced hypertension<sup>74,77</sup>; (3) osmotherapy and hypertonic saline use<sup>78,79</sup>; (4) decompressive craniectomy DC<sup>80–82</sup>; (5) hyperventilation<sup>12,83–85</sup>; (6) normobaric hyperoxia, although its role in resuscitation remains controversial<sup>86–88</sup>; (7) blood transfusion, particularly in patients with impaired cerebrovascular reserve who may be better transfused when oxygen delivery is compromised rather than a hemoglobin threshold reached<sup>32,89–94</sup>; (8) fluid balance<sup>95</sup>; (9) titration of sedatives, including use of propofol or barbiturates for burst suppression or ICP control<sup>96–98</sup>; (10) induced normothermia<sup>99,100</sup>; (11) ventilator control<sup>101,102</sup>; or (12) define optimal body position for nursing a patient.<sup>103</sup> Furthermore, information from a PbtO<sub>2</sub> monitor may help define a subset of patients who are at risk for patient transport.<sup>104</sup> In patients with SAH, PbtO<sub>2</sub> has also been used to help detect delayed cerebral ischemia (DCI) and to evaluate the effects of various therapies for DCI, angiography, or pharmacologic angioplasty.<sup>74,105–107</sup> In addition, studies in SAH have shown that, in some patients, nimodipine or intra-arterial papaverine can have unexpected adverse effects on PbtO<sub>2</sub>.<sup>108,109</sup>

### Use During Neurosurgical Procedures

PbtO<sub>2</sub> monitor use during neurosurgical procedures, in particular aneurysm or arteriovenous malformation (AVM) surgery is well described.<sup>106,110,111</sup> A correctly positioned PbtO<sub>2</sub> monitor allows the effects of temporary arterial occlusion to be examined; reduced PbtO<sub>2</sub> and especially brain hypoxia indicate low CBF and are associated with cerebral infarction.<sup>110</sup> PbtO<sub>2</sub> measurements have also been used during surgery to examine oxygenation of cerebral tissue during AVM or tumor surgery.<sup>111,112</sup> Reduced PbtO<sub>2</sub>, before AVM resection suggests low perfusion and chronic hypoxia, whereas a marked PbtO<sub>2</sub> increase after AVM removal indicates hyperperfusion. The effects of inhalational agents<sup>113</sup> and propofol<sup>98</sup> on cerebral autoregulation and oxygenation during anesthesia have been examined using PbtO<sub>2</sub> monitoring. These studies show a dose-dependent loss of autoregulation but a corresponding increase in PbtO<sub>2</sub> provided CPP is maintained with inhalational agents, but not with propofol.

### BRAIN OXYGEN INDICES

#### *PbtO<sub>2</sub> Reactivity*

The increase in PbtO<sub>2</sub> relative to an increase in arterial Po<sub>2</sub> is termed brain tissue oxygen reactivity. It is thought that this reactivity is controlled by an oxygen regulatory mechanism, and that this mechanism may be disturbed after brain

injury. Van Santbrink and colleagues<sup>114</sup> examined the brain tissue oxygen response (ie, the change in PbtO<sub>2</sub> in response to changes in Pao<sub>2</sub>) and showed that a greater response in the first 24 hours after injury was independently associated with an unfavorable outcome. The effect of Paco<sub>2</sub> on this mechanism has been studied in dogs<sup>115</sup> and in pigs<sup>12</sup>: PbtO<sub>2</sub> shows a linear correlation with CO<sub>2</sub> and MAP. A linear correlation with CBF during CO<sub>2</sub> reactivity testing was also found, which suggest that PbtO<sub>2</sub> is influenced by factors that regulate CBF, namely CO<sub>2</sub> and MAP.

### Oxygen Reactivity Index

Soehle and colleagues<sup>116</sup> introduced the concept of PbtO<sub>2</sub> autoregulation, defined as the ability of the brain to maintain PbtO<sub>2</sub> despite CPP changes. This concept may facilitate identification of appropriate individual CPP targets. Additional studies by Lang and colleagues<sup>73</sup> showed a significant correlation between static cerebral autoregulation (determined using blood flow velocity in relation to changing CPP) and cerebral tissue oxygen reactivity (the rate of PbtO<sub>2</sub> change relative to changing CPP).<sup>117</sup> This finding suggests a close link between regulation of CBF and oxygenation. Impaired brain tissue oxygen pressure reactivity index is associated with worse outcome after TBI,<sup>72</sup> SAH,<sup>118,119</sup> and stroke.<sup>72</sup> These findings suggest that manipulation of Pbo<sub>2</sub> by altering Pao<sub>2</sub> or CPP can be used to optimize CPP management. In patients with acute ischemic stroke, impaired CPP brain tissue oxygen reactivity index predicts the development of

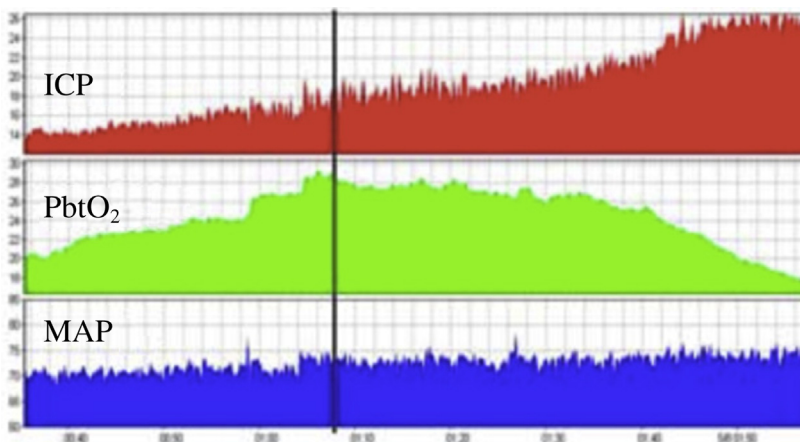
malignant edema after middle cerebral artery infarction.

### BRAIN OXYGEN AND OUTCOME

Several observational studies suggest that reduced brain oxygen is associated with worse outcome in acute brain injury in adults<sup>23,28,33–37,49,71,89</sup> and children,<sup>38,39,71</sup> although the strength of this relationship may depend in part on probe location relative to other disorders, such as, in normal white matter, the penumbra or in a contusion.<sup>27,51</sup> Episodes of brain tissue hypoxia may occur when CPP and ICP are normal,<sup>23,28,30,69,71</sup> so emphasizing the value of using both monitors. The number, duration, and intensity of PbtO<sub>2</sub> episodes less than 15 mm Hg, and any PbtO<sub>2</sub> values less than or equal to 5 mm Hg are associated with poor outcome after TBI.<sup>23,28,33–37,49</sup> A PbtO<sub>2</sub> less than 10 mm Hg is associated with 2-fold to 4-fold increase in both mortality and unfavorable outcome,<sup>37</sup> including neuropsychological performance<sup>40,41</sup>; this is important because ICP generally is associated with mortality alone rather than outcome. Furthermore, the development of compromised PbtO<sub>2</sub> is an independent variable associated with outcome rather than simply an indicator of disease severity.<sup>28</sup> Reduced PbtO<sub>2</sub> is also associated with outcome in SAH, although the observed relationship is less robust than in TBI.<sup>40,41</sup>

### MANAGEMENT OF BRAIN OXYGEN

The association between outcome and PbtO<sub>2</sub> in observational series has led to the concept of



**Fig. 2.** Continuous monitoring of ICP, PbtO<sub>2</sub> and MAP, recorded over several hours, showing dynamic changes in ICP and its effect on PbtO<sub>2</sub>. In particular, as ICP initially increases it is associated with an increase in PbtO<sub>2</sub>. As ICP continues to increase, PbtO<sub>2</sub> eventually decreases (vertical line), which suggests the point at which ICP may be best treated in this individual (ie, targeted care), because it is at this point that the ICP increase is having an adverse effect on other measures of intracranial health. (Adapted from Rohlwick UK, Zwane E, Graham Fieggen A, et al. The relationship between intracranial pressure and brain oxygenation in children with severe traumatic brain injury. *Neurosurgery* 2012;70(5):1220–31; with permission.)

PbtO<sub>2</sub>-based care. When severe TBI care is based on data from both a PbtO<sub>2</sub> and ICP monitor, some, but not all, observational series suggest that outcome is better than when just ICP-based care is provided<sup>44–47</sup>; this question is now being evaluated in a multicenter clinical trial. Oxygen delivery to the brain is the product of CBF and the arterial oxygen content and hence many variables can influence it (see **Box 2**). However, several physiologic factors suggest that even small changes in intracellular Po<sub>2</sub> can have a biological effect. First, neuronal mitochondria require an intracellular Po<sub>2</sub> as low as 1.5 mm Hg to maintain aerobic metabolism; this corresponds with a PbtO<sub>2</sub> between 15 and 20 mm Hg (ie, where treatment usually is initiated). Second, only oxygen dissolved in plasma can exchange between vascular and tissue compartments. However, oxygen is lipophilic and so can cross the endothelium (ie, influx through passive diffusion). Third, the apparent diffusion coefficient of oxygen is stable even with sustained ischemia. Fourth, cellular-mitochondrial gradients are small and, consistent with this, Zhou and colleagues<sup>120</sup> observed that normobaric hyperoxia administered after experimental TBI can restore mitochondrial ATP levels.

A PbtO<sub>2</sub> monitor does not influence outcome. Instead, information from a PbtO<sub>2</sub> monitor, like other monitors, should be interpreted and integrated with other data (eg, clinical examination, CT scan findings, ICP, CPP, pulmonary status, and hemoglobin). This approach allows patient-specific pathophysiology to be targeted and, in some circumstances, PbtO<sub>2</sub> to be used as a novel target or for otherwise unrecognized relationships to be defined, so moving away from empiric treatment alone. For example, in some patients who receive pressors to augment CPP in the presence of increased ICP, we have observed that induced hypotension (eg, nicardipine) instead has a better effect on physiology because it ameliorates an underlying hyperemia. In addition, the PbtO<sub>2</sub> data may permit avoidance of deleterious effects of other therapies (eg, hyperventilation) or avoid unnecessary treatment or overtreatment (eg, allow permissive intracranial hypertension in select patients who have a mild increase in ICP but otherwise normal cerebral physiology by defining when increased ICP adversely affects intracranial physiology) (**Fig. 2**). Although the relationship with outcome may vary with where the PbtO<sub>2</sub> probe is placed, treatment paradigms are largely based on the probe being in white matter that appears normal on head CT. In addition, the various techniques on how to manage PbtO<sub>2</sub> are still being elucidated. In large part, these therapies are based on understanding patient physiology (**Table 2**).

Table 2 Therapies to treat compromised brain oxygen	
Frequently Used Therapy	Less Frequently Used Therapy
Adjust ventilator parameters to increase Pao <sub>2</sub> Increase Fio <sub>2</sub> (eg, 50%–60%) Increase PEEP	Ventriculostomy Continuous or intermittent CSF drainage
Transient hyperoxia 100% Fio <sub>2</sub>	Blood transfusion
Augment CPP Colloid bolus Neosynephrine, dopamine	Neuromuscular paralysis Pancuronium, vecuronium
Pharmacologic analgesia and sedation Propofol, versed, ativan Fentanyl, morphine	Adjust ventilator rate Increase to lower Paco <sub>2</sub> (ICP) Decrease to increase EtcO <sub>2</sub> , Paco <sub>2</sub>
Head position or avoid turning, certain positions	Pulmonary toilet and suction
ICP control Sedation, mannitol, IV lidocaine	Pentothal
Ensure temperature <38°C	DCH (or other cranial surgery) Labetalol, nicardipine

Abbreviations: DCH, decompressive hemicraniectomy; IV, intravenous; PEEP, positive end-expiratory pressure.  
Data from Refs.<sup>11,121,122</sup>

Common therapies include changing head position, ventilator manipulation, transient increases in inspired oxygen, CPP augmentation, transfusion, and sedation, and these therapies are successful in correcting the abnormality in about 70% of episodes of reduced PbtO<sub>2</sub>. Those patients who respond to a corrective therapy are more likely to have a better outcome<sup>44,121,122</sup> and treatments are best used in a cause-specific tier fashion rather than a stepwise linear fashion.

SUMMARY

PbtO<sub>2</sub> monitoring is a safe and reliable technique that permits continuous bedside evaluation of cellular function in patients with severe brain injury. Several variables including CBF, blood pressure, hemoglobin concentration, and systemic oxygenation influence PbtO<sub>2</sub>. Reduced PbtO<sub>2</sub> (<20 mm Hg), often independent of ICP and CPP, is frequent after acute brain injury and can result from several



pathologic mechanisms (eg, increased ICP, ischemia, impaired oxygen extraction, anemia, or altered lung function). Information from a PbtO<sub>2</sub> monitor can guide the care of patients in the NCCU and help optimize CPP, Paco<sub>2</sub>, Pao<sub>2</sub>, and hemoglobin targets in individual patients, particularly when used in an integrated fashion with other monitors. Furthermore, observational data show an independent association between PbtO<sub>2</sub> and outcome. This finding has led to the concept of PbtO<sub>2</sub>-based care to supplement and complement management based on ICP and CPP; whether this benefits outcome is still to be fully elucidated but early clinical series have produced promising results.

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